

# Unique Games Conjecture

Bartosz Wodziński

Jagiellonian University

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## Showing inapproximability.

We are interested in finding best approximation ratio possible to achieve in polynomial time for NP-complete problems.

For various such problems we have approximation algorithms, however we are unable to prove that they are optimal.

## Example - Min Vertex Cover

- Best approximation known: 2.
- Best inapproximability result proved:  $\approx 1.36$ .
- Can we do better than 2?

# Towards stating the UGC

## Unique Label Cover (Unique Game) problem

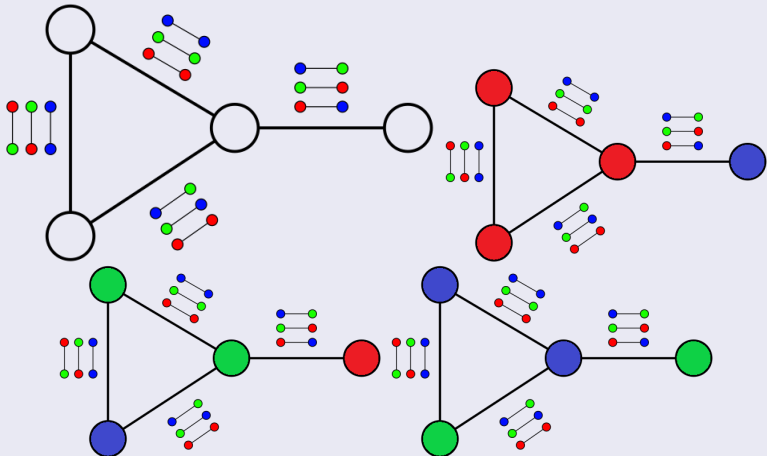
Is a CSP problem  $\mathcal{U}(G(V, E), [n], \{\pi_e : e \in E\})$  defined as follows:

- $G(V, E)$  is a directed graph
- every edge  $e$  is associated with a bijection  $\pi_e : [n] \rightarrow [n]$
- labeling  $L : V \rightarrow [n]$  satisfies the constraint on edge  $e = (u, v) \iff \pi_e(L(v)) = L(u)$
- the goal is to find a labeling  $L$  satisfying maximum number of constraints. A solution  $OPT(\mathcal{U})$  is a best possible fraction of constraints satisfied.

## Easy case - $\exists$ labeling satisfying all constraints

For each connected component of  $G$ , we can fix one vertex and try every possible assignment to it. It will automatically settle values assigned for its neighbours, and hence, for the entire connected component.

# Example instance



## What about other cases?

Given an instance  $\mathcal{U}$  such that  $OPT(\mathcal{U}) = 1 - \epsilon$ , it seems very hard to find a solution satisfying at least  $\epsilon$  fraction of constraints. This intuition is expressed as follows:

## Unique Games Conjecture [Khot, 2002]

$\forall \epsilon > 0 \exists n = n(\epsilon)$  such that given a Unique Label Cover (Game) instance  $\mathcal{U}(G(V, E), [n], \{\pi_e\})$ , it is NP-hard to distinguish between these two cases:

- YES case:  $OPT(\mathcal{U}) \geq 1 - \epsilon$
- NO case:  $OPT(\mathcal{U}) \leq \epsilon$

Equivalently: there exists such  $n$  that given an instance  $\mathcal{U} : OPT(\mathcal{U}) \geq 1 - \epsilon$ , it is NP-hard to find a solution satisfying at least  $\epsilon$  fraction of constraints.

## Applications

- Inapproximability (original motive, example: Min-2SAT-Deletion problem)
- Discrete Fourier Analysis
- Geometry
- Integrality Gaps (best possible approximation ratios)
- Algorithms and Parallel Repetition

Subhash Khot, the author of the UGC, surprised by that amount of these applications, says:

*"Many of the aforementioned developments were quite unexpected (to the author at least)"*

# Inapproximability results for some optimization problems

<b>Problem</b>	<b>Best approx. known</b>	<b>Inapprox. assuming UGC</b>	<b>Best inapprox. known</b>
Vertex Cover	2	$2 - \epsilon$	1.36
VC on $k$ -uniform hypergraphs, $k \geq 3$	$k$	$k - \epsilon$	$k - 1 - \epsilon$
MaxCut	0.878	$0.878 + \epsilon$	$0.941 + \epsilon$
Any CSP $\mathcal{C}$ with integrality gap $\alpha_{\mathcal{C}}$	$\alpha_{\mathcal{C}}$	$\alpha_{\mathcal{C}} - \epsilon$	
Max- $k$ CSP	$O(2^k/k)$	$\Omega(2^k/k)$	$2^{k-O(\sqrt{k})}$
Max Acyclic Subgraph	2	$2 - \epsilon$	$1.015 - \epsilon$
Betweenness	0.333	$0.333 + \epsilon$	0,979



# Equivalent UGC formulation

## Label Cover - equivalent form

The Label Cover problem can be also formulated as follows:

Suppose, we have some large  $p \in \mathbb{P}$  and a finite set of variables:

$\{x_1, x_2, \dots, x_n\}$ . We are given a system of linear equations over the integers modulo  $p$ :

$$x_i - x_j \equiv c_{ij} \pmod{p}.$$

Our goal is to satisfy as many constraints as possible.

As in the previous Label Cover problem formulation, each  $x_i$  determines values of the variables that appear in one equality with  $x_i$  - every such equation represents both an edge between  $x_i$  and  $x_j$  and a bijection  $\pi$ , since  $p$  is prime.

Since in each equality, there appear exactly 2 different variables, a Unique Game (Label Cover) is sometimes called a "2-to-1 game".

# 2-to-2 Game

## 2-to-2 Game definition

The 2-to-2 game is a problem, where we have  $p \in \mathbb{P}$ , finite set of variables  $\{x_1, x_2, \dots, x_n\}$  and a system of linear equation over the integers, of the form:

$$x_i - x_j \equiv c_{ij}, b_{ij} \pmod{p}$$

And, as we saw in 2-to-1 Game problem, we want to satisfy the greatest possible number of constraints.

Note, that each equation gives us a choice of a constant - we can either take  $c_{ij}$  or  $b_{ij}$ , so that we have  $2^m$  possible equation sets ( $m$  is a number of equations in the problem formulation).

# 2-to-2 Games Theorem

## Theorem statement

In, 2018, the following theorem, called "2-to-2 Games Theorem", was proved:


$\forall \epsilon > 0 \exists p$  such that given a  $1 - \epsilon$  satisfiable 2-to-2 Game it is NP-hard to find a  $\epsilon$  satisfying assignment.


## Ramification


$\forall \epsilon > 0 \exists p$  such that given a  $\frac{1}{2} - \frac{\epsilon}{2}$  satisfiable 2-to-1 Game it is NP-hard to find a  $\epsilon$  satisfying assignment.


## Conclusion

We are halfway there!

 [Subhash Khot, 2010](#)  
On the Unique Games Conjecture  
<https://cs.nyu.edu/~khot/papers/UGCSurvey.pdf>

 [Subhash Khot, 2018 talk](#)  
Hardness of Approximation  
<https://www.youtube.com/watch?v=RBFV0J11UGY>

 [Ryan O'Donnell, 2014](#)  
Analysis Of Boolean Functions  
<https://www.cs.tau.ac.il/~amnon/Classes/2016-PRG/Analysis-Of-Boolean-Functions.pdf>

 [Wikipedia, 2020](#)  
Unique Games Conjecture  
[https://en.wikipedia.org/wiki/Unique\\_games\\_conjecture](https://en.wikipedia.org/wiki/Unique_games_conjecture)