Separating polynomial χ -boundedness from χ -boundedness

Demian Banakh Department of Theoretical Computer Science Jagiellonian University

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Notation

- $[n] = \{1, ..., n\}$
- $\mathbb{P} = \{p_1, p_2, \dots\}$ is the set of all primes
- $\chi(G)$ denotes the chromatic number of graph G
- $\omega(G)$ denotes the clique number of graph G



Definition

A class of graphs C is χ -bounded if there is a function $f : \mathbb{N} \to \mathbb{N}$ such that $\chi(G) \leq f(\omega(G))$ for every graph $G \in C$. A χ -bounded class C is polynomially χ -bounded if such a function f can be chosen to be a polynomial. A class C is hereditary if it is closed under taking induced subgraphs.



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A well-known and fundamental open problem, due to [Esperet, 2017], has been to decide whether every hereditary χ -bounded class of graphs is polynomially χ -bounded. We provide a negative answer to this question. More generally, we prove that χ -boundedness may require arbitrarily fast growing functions.



Theorem

For every function $f : \mathbb{N} \to \mathbb{N}$, there exists a hereditary χ -bounded graph class C which, for every $n \ge 2$, contains a graph $G \in C$ such that $\omega(G) \le n$ and $\chi(G) \ge f(n)$.

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The proof is heavily based on the idea used by [Carbonero, Hompe, Moore, Spirkl, 2022] in their recent solution to another well-known problem. They proved that for every $k \in \mathbb{N}$, there is a K_4 -free graph G with $\chi(G) \ge k$ such that every triangle-free induced subgraph of G has chromatic number at most 4. Their proof, in turn, relies on an idea by [Kierstead, Trotter, 1992], who proved that the class of oriented graphs excluding an oriented path of length 3 as an induced subgraph is not χ -bounded.

Lemma (2)

For every $k \in \mathbb{N}$, there is a triangle-free graph G_k and an acyclic orientation of its edges such that $\chi(G_k) = k$ and for every pair of vertices u and v, there is at most one directed path from u to v in G_k .

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We can use any standard construction of triangle-free graphs with arbitrarily high chromatic number, for example Mycielskian (1955), and orient the edges in a way that follows naturally from the construction.



Source: Wikipedia

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- For every p we extend the graph $G_{g(p)}$ to a graph G'_p with $\chi(G'_p) \ge g(p)$ by adding edges as follows
- Let \leq be the directed reachability partial order of the vertices of $G_{g(p)}$, that is, $u \leq v$ iff there is a (unique) directed path from u to v in $G_{g(p)}$
- For every pair of vertices u and v in G_{g(p)} such that u ≤ v, let d(u, v) be the length of the unique directed path from u and v in G_{g(p)}





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$$V(G'_p) := V(G_{g(p)});$$

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- G'_p contains G_{g(p)} as a subgraph, as original edges uv satisfy u < v and d(u, v) = 1

• Therefore
$$\chi(G'_p) \geq \chi(G_{g(p)}) = g(p)$$

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Proof.



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- Let v_1, \ldots, v_k be the vertices of *C* ordered so that

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- Suppose C is a clique in G'_p of size k > p
- Let v₁,..., v_k be the vertices of C ordered so that
 v₁ < ··· < v_k
- By Pigeonhole principle, there are some i < j such that d(v₁, v_i) ≡_p d(v₁, v_j)

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- There are i < j such that $d(v_1, v_i) \equiv_p d(v_1, v_j)$
- Since the directed path v₁ →→ v_j is unique, it must go through v_i, which implies d(v₁, v_j) = d(v₁, v_i) + d(v_i, v_j)

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- Since the directed path v₁ →→ v_j is unique, it must go through v_i, which implies d(v₁, v_j) = d(v₁, v_i) + d(v_i, v_j)
- We conclude that $d(v_i, v_j) \equiv_p 0$, so $v_i v_j$ could not have been an edge of G'_p

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- The second part of the statement of Theorem follows: for every number $n \ge 2$, where $p = p_i \le n < p_{i+1}$, the graph $G'_p \in C$ satisfies $\chi(G'_p) \ge g(p) \ge f(n)$ and $\omega(G'_p) \le p \le n$

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- The second part of the statement of Theorem follows: for every number $n \ge 2$, where $p = p_i \le n < p_{i+1}$, the graph $G'_p \in C$ satisfies $\chi(G'_p) \ge g(p) \ge f(n)$ and $\omega(G'_p) \le p \le n$
- It remains to prove that the class C is χ -bounded

Lemma (4)

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Let p be a prime and G be an induced subgraph of G'_p with $n = \omega(G) < p$. Then $\chi(G) \le n^{n^2}$.

Note

This lemma indeed implies χ -boundedness. Consider the function $f' : \mathbb{N} \to \mathbb{N}$ defined as

$$f'(n) = \max\{n^{n^2}, \max_{\mathbb{P} \ni q \le n} \chi(G'_q)\}$$

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, then $\chi(G) \le n^{n^2} \le f'(n)$ from the lemma.
• $n = \omega(G) = p$, then $\chi(G) \le \chi(G'_p) \le f'(n)$, since $p \le n$.

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Proof.

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- We claim that c is a proper colouring. Suppose otherwise, that c(u) = c(v) = c for some adjacent vertices u and v. Assume WLOG that $u \rightarrow v$
- Then u is a starting vertex of a directed path u → v → · · · → p of length c + 1, where p witnesses c(v). Contradiction



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• Fix $1 \le n \in \mathbb{N}$. Let $F_n = \{\frac{s}{m} \mid m \in [n] \text{ and } 0 \le s \le m\}$. The set F_n ordered by < is called the Farey sequence of order n.

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- We let $\Phi(n) = |F_n \setminus \{0\}|$. It is clear from the definition that

$$\Phi(n) \leq 1+2+\cdots+n \leq n^2$$

Lemma (5)

Let p be a prime number and let $n \in [p-1]$. Then there is a partition of the set [p-1] into $\Phi(n)$ sets $A_1, \ldots, A_{\Phi(n)}$ such that for every $i \in [\Phi(n)]$ and every $m \in [n]$, no m (not necessarily distinct) numbers in A_i sum up to 0 modulo p.

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- Hence $\{pf_0, pf_1, \dots, pf_{\Phi(n)}\} \cap [p-1] = \emptyset$, so $A_1, \dots, A_{\Phi(n)}$ is a partition of [p-1]



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- It follows that $A_i \subseteq (p \frac{s-1}{m}, p \frac{s}{m})$
- Consequently, the sum of any *m* numbers in A_i lies in (p(s-1), ps), so it never equals 0 modulo *p*, as required

Let p be a prime and G be an induced subgraph of G'_p with $n = \omega(G) < p$. Then $\chi(G) \le n^{n^2}$.

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- For each $i \in [\Phi(n)]$, let $E_i := \{uv \in E(G'_p) \mid u < v \text{ and } d(u,v) \in_p A_i\}$

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- It follows that $E_1, \ldots, E_{\Phi(n)}$ is a partition of the edge set of G'_p
- For each i ∈ [Φ(n)], let G^{*}_i be the subgraph of G obtained by restricting the edge set to E_i, keeping the orientations of these edges

Claim: The graph G_i^* contains no directed path of length n.

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- Lemma 5 implies $d(v_i, v_j) \not\equiv_p 0$ (sum of *m* numbers in A_i), so $v_i v_j$ is an edge of G'_p , and thus of G
- We conclude that $\{v_0, v_1, \ldots, v_n\}$ is a clique in G, which contradicts $\omega(G) = n$



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- Then the product colouring $c(v) := (c_1(v), \ldots, c_{\Phi(n)}(v))$ is $n^{\Phi(n)}$ -colouring of G

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- Then the product colouring $c(v) := (c_1(v), \ldots, c_{\Phi(n)}(v))$ is $n^{\Phi(n)}$ -colouring of G
- Since $\Phi(n) \leq n^2$, we conclude that $\chi(G) \leq n^{n^2}$

References

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