# A note on polynomials and f-factors of graphs by Hamed Shirazi and Jacques Verstraëte

presented by Krzysztof Baranski

Theoretical Computer Science Jagiellonian University

Combinatorial Optimization Seminar

#### Definition

A *k*-regular spanning subgraph is called **k-factor**.

문 문 문

#### Definition

A *k*-regular spanning subgraph is called **k-factor**.

#### Observation

A subgraph  $H \subseteq G$  is a 1-factor of G iff E(H) is a matching of G.

・ 何 ト ・ ヨ ト ・ ヨ ト ・

#### Definition

A *k*-regular spanning subgraph is called **k-factor**.

#### Observation

A subgraph  $H \subseteq G$  is a 1-factor of G iff E(H) is a matching of G.

#### Observation

A subgraph  $H \subseteq G$  is a 2-factor of G iff E(H) is a cycle cover of G.

イロト イヨト イヨト ・

#### Let G = (V, E) be a graph.

イロト イヨト イヨト イヨト

3

Let G = (V, E) be a graph. Let  $f : V \to 2^{\mathbb{Z}}$  be a function assigning to each  $v \in V$  a set of integers in  $\{0, 1, 2, ..., d(v)\}$ , where d(v) denotes the degree of v in G.

# Let G = (V, E) be a graph. Let $f : V \to 2^{\mathbb{Z}}$ be a function assigning to each $v \in V$ a set of integers in $\{0, 1, 2, ..., d(v)\}$ , where d(v) denotes the degree of v in G.

#### Definition

**f-factor** is a spanning subgraph *H* of *G* in which  $d_H(v) \in f(v)$  for all  $v \in V$ .

く 目 ト く ヨ ト く ヨ ト

If f(v) = {1} for every v ∈ V(G), then f is a matching of G (1-factor).

< ∃ ►

- If f(v) = {1} for every v ∈ V(G), then f is a matching of G (1-factor).
- If f(v) = {2} for every v ∈ V(G), then f is a cycle cover of G (2-factor).

< A > <

- If f(v) = {1} for every v ∈ V(G), then f is a matching of G (1-factor).
- If f(v) = {2} for every v ∈ V(G), then f is a cycle cover of G (2-factor).
- If |f(v)| = 1 for every v ∈ V(G), then there exists a necessary and sufficient condition for the existence of an f-factor of G (Tutte's f-factor theorem).

- If f(v) = {1} for every v ∈ V(G), then f is a matching of G (1-factor).
- If f(v) = {2} for every v ∈ V(G), then f is a cycle cover of G (2-factor).
- If |f(v)| = 1 for every v ∈ V(G), then there exists a necessary and sufficient condition for the existence of an f-factor of G (Tutte's f-factor theorem).
- No necessary and sufficient condition for an *f*-factor exists when we allow |*f*(*v*)| ≥ 2, even when |*f*(*v*)| = 2 for all *v* ∈ *V*.

- If f(v) = {1} for every v ∈ V(G), then f is a matching of G (1-factor).
- If f(v) = {2} for every v ∈ V(G), then f is a cycle cover of G (2-factor).
- If |f(v)| = 1 for every v ∈ V(G), then there exists a necessary and sufficient condition for the existence of an f-factor of G (Tutte's f-factor theorem).
- No necessary and sufficient condition for an *f*-factor exists when we allow |*f*(*v*)| ≥ 2, even when |*f*(*v*)| = 2 for all *v* ∈ *V*.
- On the other hand, if no two consecutive integers in f(v) differ by more than two, then there is a necessary and sufficient condition for an f-factor (Lovász).

Let G = (V, E) be a graph and suppose that f satisfies

 $|f(v)| > \lceil d(v)/2 \rceil$ 

for every  $v \in V$ . Then *G* has an *f*-factor.

Let  $g \in \mathbb{F}[X_1, X_2, ..., X_n]$  be a polynomial, and suppose the coefficient of the monomial  $\prod_{i=1}^n X_i^{t_i}$  in g is non-zero, where  $t_1 + t_2 + ... + t_n$  is the total degree of g. Then, for any sets  $S_1, S_2, ..., S_n \subset \mathbb{F}$  with  $|S_1| > t_1, |S_2| > t_2, ..., |S_n| > t_n$ , there exists  $x \in S_1 \times S_2 \times ... \times S_n$  such that  $g(x) \neq 0$ .

#### Theorem

Let G = (V, E) be a graph and suppose that f satisfies

 $|f(v)| > \lceil d(v)/2 \rceil$ 

for every  $v \in V$ . Then *G* has an *f*-factor.

#### Theorem

Let G = (V, E) be a graph and suppose that f satisfies

 $|f(v)| > \lceil d(v)/2 \rceil$ 

for every  $v \in V$ . Then *G* has an *f*-factor.

**Proof:** 

< /□ > < ∃

#### Theorem

Let G = (V, E) be a graph and suppose that f satisfies

 $|f(v)| > \lceil d(v)/2 \rceil$ 

for every  $v \in V$ . Then *G* has an *f*-factor.

#### **Proof:**

Let's consider the polynomial over  ${\mathbb R}$  defined by

$$g = \prod_{v \in V} \prod_{c \in f(v)^c} (\sum_{e \ni v} X_e - c)$$

where  $f(v)^{c} = \{0, 1, 2, ..., d(v)\} \setminus f(v)$ .

$$g = \prod_{v \in V} \prod_{c \in f(v)^c} (\sum_{e \ni v} X_e - c)$$

イロト イヨト イヨト イヨト

3

$$g = \prod_{v \in V} \prod_{c \in f(v)^c} (\sum_{e \ni v} X_e - c)$$

I claim that there exists a largest degree monomial in g of the form  $a \prod_{e \in E} X_e^{t_e}$  where  $t_e \in \{0, 1\}$  for all  $e \in E$  and  $a \neq 0$ .

$$g = \prod_{v \in V} \prod_{c \in f(v)^c} (\sum_{e \ni v} X_e - c)$$

I claim that there exists a largest degree monomial in g of the form  $a \prod_{e \in E} X_e^{t_e}$  where  $t_e \in \{0, 1\}$  for all  $e \in E$  and  $a \neq 0$ .

The degree of g is exactly

$$\sum_{v\in V} |f(v)^c|.$$

$$g = \prod_{v \in V} \prod_{c \in f(v)^c} (\sum_{e \ni v} X_e - c)$$

I claim that there exists a largest degree monomial in g of the form  $a \prod_{e \in E} X_e^{t_e}$  where  $t_e \in \{0, 1\}$  for all  $e \in E$  and  $a \neq 0$ .

The degree of g is exactly

$$\sum_{v\in V} |f(v)^c|.$$

< 1 k

$$|f(v)| > \lceil \frac{1}{2}d(v) \rceil \Longrightarrow |f(v)^c| \le \lfloor \frac{1}{2}d(v) \rfloor$$

< 1 k

$$|f(v)| > \lceil \frac{1}{2}d(v) \rceil \Longrightarrow |f(v)^c| \le \lfloor \frac{1}{2}d(v) \rfloor$$

Therefore it is possible to assign to each  $v \in V$  a set E(v) of edges containing v such that  $|E(v)| = |f(v)^c|$  and, for all distinct  $u, v \in V$ ,

$$E(u)\cap E(v)=\emptyset.$$

$$|f(v)| > \lceil \frac{1}{2}d(v) \rceil \Longrightarrow |f(v)^c| \le \lfloor \frac{1}{2}d(v) \rfloor$$

Therefore it is possible to assign to each  $v \in V$  a set E(v) of edges containing v such that  $|E(v)| = |f(v)^c|$  and, for all distinct  $u, v \in V$ ,

$$E(u)\cap E(v)=\emptyset.$$

Then

$$\prod_{v\in V}\prod_{e\in E(v)}X_{e}.$$

is a monomial of the required degree in g.

#### Combinatorial Nullstellensatz

Let  $g \in \mathbb{F}[X_1, X_2, ..., X_n]$  be a polynomial, and suppose the coefficient of the monomial  $\prod_{i=1}^n X_i^{t_i}$  in g is non-zero, where  $t_1 + t_2 + ... + t_n$  is the total degree of g.

#### Combinatorial Nullstellensatz

Let  $g \in \mathbb{F}[X_1, X_2, ..., X_n]$  be a polynomial, and suppose the coefficient of the monomial  $\prod_{i=1}^n X_i^{t_i}$  in g is non-zero, where  $t_1 + t_2 + ... + t_n$  is the total degree of g.

$$g = \prod_{v \in V} \prod_{c \in f(v)^c} (\sum_{e \ni v} X_e - c)$$

#### Combinatorial Nullstellensatz

Let  $g \in \mathbb{F}[X_1, X_2, ..., X_n]$  be a polynomial, and suppose the coefficient of the monomial  $\prod_{i=1}^n X_i^{t_i}$  in g is non-zero, where  $t_1 + t_2 + ... + t_n$  is the total degree of g.

$$g = \prod_{v \in V} \prod_{c \in f(v)^c} (\sum_{e \ni v} X_e - c)$$
$$a \prod_{e \in E} X_e^{t_e} = a \prod_{v \in V} \prod_{e \in E(v)} X_e, \text{ where } t_e \in \{0, 1\} \text{ and } a \neq 0$$

#### Combinatorial Nullstellensatz

Let  $g \in \mathbb{F}[X_1, X_2, ..., X_n]$  be a polynomial, and suppose the coefficient of the monomial  $\prod_{i=1}^n X_i^{t_i}$  in g is non-zero, where  $t_1 + t_2 + ... + t_n$  is the total degree of g.

$$g = \prod_{v \in V} \prod_{c \in f(v)^c} (\sum_{e \ni v} X_e - c)$$
$$a \prod_{e \in E} X_e^{t_e} = a \prod_{v \in V} \prod_{e \in E(v)} X_e, \text{ where } t_e \in \{0, 1\} \text{ and } a \neq 0$$
$$S_1 = S_2 = \dots = S_n = \{0, 1\}$$

#### Combinatorial Nullstellensatz

Let  $g \in \mathbb{F}[X_1, X_2, ..., X_n]$  be a polynomial, and suppose the coefficient of the monomial  $\prod_{i=1}^n X_i^{t_i}$  in g is non-zero, where  $t_1 + t_2 + ... + t_n$  is the total degree of g.

Then, for any sets  $S_1, S_2, ..., S_n \subset \mathbb{F}$  with  $|S_1| > t_1, |S_2| > t_2, ..., |S_n| > t_n$ , there exists  $x \in S_1 \times S_2 \times ... \times S_n$  such that  $g(x) \neq 0$ .

$$g = \prod_{v \in V} \prod_{c \in f(v)^c} (\sum_{e \ni v} X_e - c)$$
$$a \prod_{e \in E} X_e^{t_e} = a \prod_{v \in V} \prod_{e \in E(v)} X_e, \text{ where } t_e \in \{0, 1\} \text{ and } a \neq 0$$
$$S_1 = S_2 = \dots = S_n = \{0, 1\}$$

By the combinatorial nullstellensatz, there exists  $x \in \{0,1\}^{|E|}$  such that  $g(x) \neq 0$ .

#### Combinatorial Nullstellensatz

Let  $g \in \mathbb{F}[X_1, X_2, ..., X_n]$  be a polynomial, and suppose the coefficient of the monomial  $\prod_{i=1}^n X_i^{t_i}$  in g is non-zero, where  $t_1 + t_2 + ... + t_n$  is the total degree of g.

Then, for any sets  $S_1, S_2, ..., S_n \subset \mathbb{F}$  with  $|S_1| > t_1, |S_2| > t_2, ..., |S_n| > t_n$ , there exists  $x \in S_1 \times S_2 \times ... \times S_n$  such that  $g(x) \neq 0$ .

$$g = \prod_{v \in V} \prod_{c \in f(v)^c} (\sum_{e \ni v} X_e - c)$$
$$a \prod_{e \in E} X_e^{t_e} = a \prod_{v \in V} \prod_{e \in E(v)} X_e, \text{ where } t_e \in \{0, 1\} \text{ and } a \neq 0$$
$$S_1 = S_2 = \dots = S_n = \{0, 1\}$$

By the combinatorial nullstellensatz, there exists  $x \in \{0,1\}^{|E|}$  such that  $g(x) \neq 0$ . Now  $F = \{e \in E : x_e = 1\}$  is the edge set of an *f*-factor of *G*.

#### Theorem

Let G = (V, E) be a graph, and let f satisfy

$$|E| > \sum_{v \in V} |f(v)^c \setminus \{0\}|$$

where  $f(v)^c = \{0, 1, 2, ..., d(v)\} \setminus f(v)$ . Then G contains a non-trivial partial f-factor.

イロト 不得 トイヨト イヨト

#### Theorem

Let G = (V, E) be a graph, and let f satisfy

$$|E| > \sum_{v \in V} |f(v)^c \setminus \{0\}|$$

where  $f(v)^c = \{0, 1, 2, ..., d(v)\} \setminus f(v)$ . Then G contains a non-trivial partial f-factor.

#### Definition

**Partial f-factor** of a graph G = (V, E) is an  $\tilde{f}$ -factor of G where  $\tilde{f}(v) = f(v) \cup \{0\}$  for all  $v \in V$ .

く 目 ト く ヨ ト く ヨ ト

#### Theorem

Let G = (V, E) be a graph, and let f satisfy

$$|E| > \sum_{v \in V} |f(v)^c \setminus \{0\}|$$

where  $f(v)^c = \{0, 1, 2, ..., d(v)\} \setminus f(v)$ . Then G contains a non-trivial partial f-factor.

#### Definition

**Partial f-factor** of a graph G = (V, E) is an  $\tilde{f}$ -factor of G where  $\tilde{f}(v) = f(v) \cup \{0\}$  for all  $v \in V$ .

#### Definition

Partial *f*-factor is **non-trivial** if it is non-empty.

Krzysztof Baranski

< A > < E

#### Theorem

Let G = (V, E) be a graph, and let f satisfy

$$|E| > \sum_{v \in V} |f(v)^c \setminus \{0\}|$$

where  $f(v)^c = \{0, 1, 2, ..., d(v)\} \setminus f(v)$ . Then G contains a non-trivial partial f-factor.

#### Theorem

Let G = (V, E) be a graph, and let f satisfy

$$|E| > \sum_{v \in V} |f(v)^c \setminus \{0\}|$$

where  $f(v)^c = \{0, 1, 2, ..., d(v)\} \setminus f(v)$ . Then G contains a non-trivial partial f-factor.

**Proof:** 

#### Theorem

Let G = (V, E) be a graph, and let f satisfy

$$|E| > \sum_{v \in V} |f(v)^c \setminus \{0\}|$$

where 
$$f(v)^c = \{0, 1, 2, ..., d(v)\} \setminus f(v)$$
.  
Then *G* contains a non-trivial partial *f*-factor.

#### **Proof:**

Let's consider the polynomial over  $\mathbb R$  defined by

$$g = \prod_{v \in V} \prod_{c \in f(v)^c \setminus \{0\}} \left( \frac{c - \sum_{e \ni v} X_e}{c} \right) - \prod_{e \in E} (1 - X_e).$$

$$g = \prod_{v \in V} \prod_{c \in f(v)^c \setminus \{0\}} \left(\frac{c - \sum_{e \ni v} X_e}{c}\right) - \prod_{e \in E} (1 - X_e).$$

イロト イヨト イヨト イヨト

3

$$g = \prod_{v \in V} \prod_{c \in f(v)^c \setminus \{0\}} \left( \frac{c - \sum_{e \ni v} X_e}{c} \right) - \prod_{e \in E} (1 - X_e).$$

Then g(0) = 1 - 1 = 0.

イロト 不得 とうほとう ほんし

3

$$g = \prod_{v \in V} \prod_{c \in f(v)^c \setminus \{0\}} \left( \frac{c - \sum_{e \ni v} X_e}{c} \right) - \prod_{e \in E} (1 - X_e).$$

Then g(0) = 1 - 1 = 0.

By the inequality of the theorem, the total degree of the first term in g is

$$\sum_{v \in V} |f(v)^c \setminus \{0\}| < |E|$$

so the largest degree monomial in g is precisely  $(-1)^{|E|+1} \prod_{e \in E} X_e$ .

$$g = \prod_{v \in V} \prod_{c \in f(v)^c \setminus \{0\}} \left( \frac{c - \sum_{e \ni v} X_e}{c} \right) - \prod_{e \in E} (1 - X_e).$$

Then g(0) = 1 - 1 = 0.

By the inequality of the theorem, the total degree of the first term in g is

$$\sum_{v\in V} |f(v)^c \setminus \{0\}| < |E|$$

so the largest degree monomial in g is precisely  $(-1)^{|E|+1} \prod_{e \in E} X_e$ . By the combinatorial nullstellensatz, there exists a non-zero  $x \in \{0,1\}^{|E|}$  such that  $g(x) \neq 0$ .

$$g = \prod_{v \in V} \prod_{c \in f(v)^c \setminus \{0\}} \left( \frac{c - \sum_{e \ni v} X_e}{c} \right) - \prod_{e \in E} (1 - X_e).$$

Then g(0) = 1 - 1 = 0.

By the inequality of the theorem, the total degree of the first term in g is

$$\sum_{v\in V} |f(v)^c \setminus \{0\}| < |E|$$

so the largest degree monomial in g is precisely  $(-1)^{|E|+1} \prod_{e \in E} X_e$ . By the combinatorial nullstellensatz, there exists a non-zero  $x \in \{0,1\}^{|E|}$  such that  $g(x) \neq 0$ .

This implies that the first term in g is not zero at x,

$$g = \prod_{v \in V} \prod_{c \in f(v)^c \setminus \{0\}} \left( \frac{c - \sum_{e \ni v} X_e}{c} \right) - \prod_{e \in E} (1 - X_e).$$

Then g(0) = 1 - 1 = 0.

By the inequality of the theorem, the total degree of the first term in g is

$$\sum_{v\in V} |f(v)^c \setminus \{0\}| < |E|$$

so the largest degree monomial in g is precisely  $(-1)^{|E|+1} \prod_{e \in E} X_e$ . By the combinatorial nullstellensatz, there exists a non-zero  $x \in \{0,1\}^{|E|}$  such that  $g(x) \neq 0$ .

This implies that the first term in g is not zero at x,

and so for all  $v \in V$ :  $\sum_{e \ni v} x_e \in f(v) \cup \{0\}$ .

$$g = \prod_{v \in V} \prod_{c \in f(v)^c \setminus \{0\}} \left( \frac{c - \sum_{e \ni v} X_e}{c} \right) - \prod_{e \in E} (1 - X_e).$$

Then g(0) = 1 - 1 = 0.

By the inequality of the theorem, the total degree of the first term in g is

$$\sum_{v\in V} |f(v)^c \setminus \{0\}| < |E|$$

so the largest degree monomial in g is precisely  $(-1)^{|E|+1} \prod_{e \in E} X_e$ . By the combinatorial nullstellensatz, there exists a non-zero  $x \in \{0,1\}^{|E|}$  such that  $g(x) \neq 0$ .

This implies that the first term in g is not zero at x,

and so for all 
$$v \in V$$
:  $\sum_{e \ni v} x_e \in f(v) \cup \{0\}$ .  
Now  $F = \{e \in E : x_e = 1\}$  is the edge set of a non-trivial partial *f*-factor of *G*.

- Shirazi, H; Verstraëte, J. A note on polynomials and f-factors of graphs, 2008.
- Oiestel, R. Graph Theory, Graduate Texts in Mathematics 173, 2017: 35

< 1 k